## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Cockroft Roger C.</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Fresh Vine Wine, Inc.</u> [ VINE ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) 11500 WAYZATA	ast) (First) (Middle) 500 WAYZATA BLVD. #1147		3. Date of Earliest Transaction (Month/Day/Year) 04/25/2023	X Officer (give title Other (specify below) below) CHIEF EXECUTIVE OFFICER				
(Street) MINNETONKA	MN	55305	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contrate affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.	act, instruction or written plan that is intended to satisfy the				

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	3 and 4)		(Instr. 4)
Common Stock	04/25/2023		A		463,917(1)	Α	\$ <mark>0</mark>	468,282	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (I 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		
Stock Options	\$1	04/25/2023		Α		1,000,000		(2)	04/25/2028	Common Stock	1,000,000	\$ <mark>0</mark>	1,000,000	D	

Explanation of Responses:

1. Represents a restricted stock grant with transfer and forfeiture restrictions on the shares that lapse as follows: 115,980 shares on July 25, 2023, 115,979 shares on October 25, 2023, 115,979 shares on January 25, 2024 and 115,979 shares on April 25, 2024.

2. Represents an option grant with options vesting as follows: 250,000 options on April 25, 2024, and, thereafter, in 36 monthly installments as nearly equal in amount as possible (approximately 20,883 options) commencing on the 13th month anniversary of the grant date reported on this Form 4 and continuing on each one month anniversary thereafter.

## /s/ Roger C. Cockroft

\*\* Signature of Reporting Person

<u>04/26/2023</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.