SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB Number: 3235-0104 Estimated average burden 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Cockroft Roger C.</u>	2. Date of Event Requiring Statement (Month/Day/Year) 04/25/2023	3. Issuer Name and Ticker or Trading Symbol <u>Fresh Vine Wine, Inc.</u> [VINE]		
(Last) (First) (Middle) 11500 WAYZATA BLVD, #1147		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner	5. If Amendment, Date of Original Filed (Month/Day/Year)	
		X Officer (give title Other (specify below) below)	6. Individual or Joint/Group Filing (Check Applicable Line)	
(Street)		CHIEF EXECUTIVE OFFICER	X Form filed by One Reporting Person	
MINNETONKA MN 55305			Form filed by More than One Reporting Person	
(City) (State) (Zip)				

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	4,365	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Ur Derivative Security (Instr. 4)	iderlying	Conversion or Exercise	Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security		

Explanation of Responses:

/s/ Roger C. Cockroft

04/26/2023 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL